



**OFFICE OF THE CORPORATE SECRETARY**

Direct Line: 8536-0540  
Trunk Lines: 8891-6040 to 70  
Local: 4582

April 15, 2021

**PHILIPPINE DEALING & EXCHANGE CORPORATION**

29<sup>th</sup> Floor, BDO Equitable Tower  
8751 Paseo de Roxas  
Makati City

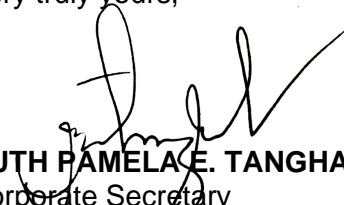
Attention: **ATTY. MARIE ROSE M. MAGALLEN-LIRIO**  
Head - Issuer Compliance and Disclosure Department (ICCD)

Dear Atty. Magallen-Lirio:

We are pleased to furnish the Philippine Dealing and Exchange Corporation (PDEX) a copy of our disclosure to the Philippine Stock Exchange regarding the List of Top 100 Stockholders of the Bank as of March 31, 2021.

We trust you will take note accordingly. Thank you.

Very truly yours,



**RUTH PAMELA E. TANGHAL**  
Corporate Secretary

Philippine National Bank  
PNB Financial Center  
Pres. Diosdado Macapagal Blvd.  
Pasay City, Metro Manila 1300, Philippines

T. (632) 8526-3131 to 70 / 8891-6040 to 70  
P.O. Box 1884 (Manila)  
P.O. Box 410 (Pasay City)  
www.pnb.com.ph

Authorized Depository of the Republic of the Philippines  
Member: PDIC

CR02298-2021

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



# PNB

## Philippine National Bank PNB

### PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares) Reference: Section 17.12 of the Revised Disclosure Rules

#### Type of Securities

Common

For the period ended Mar 31, 2021

#### Description of the Disclosure

In compliance with Section 17.12 of the Philippine Stock Exchange Disclosure Rules, we are submitting herewith the List of the Top 100 Stockholders of the Philippine National Bank, together with the List of Philippine Depository & Trust Corporation Participants as March 31, 2021.

The total number of shares of the Top 100 Stockholders of the Bank as of March 31, 2021 is 1,519,524,405.

We trust you will take note accordingly. Thank you.

<b>Number of Issued and Outstanding Common Shares</b>	1,525,764,850
<b>Number of Treasury Common Shares, if any</b>	0
<b>Number of Outstanding Common Shares</b>	1,525,764,850
<b>Number of Listed Common Shares</b>	1,525,764,850
<b>Number of Lodged Common Shares</b>	277,348,766
<b>PCD Nominee - Filipino</b>	230,717,599
<b>PCD Nominee - Non-Filipino</b>	46,631,167
<b>Number of Certificated Common Shares</b>	1,248,416,084

#### Change from previous submission

N/A.

**Filed on behalf by:**

<b>Name</b>	Ruth Pamela Tanghal
<b>Designation</b>	Corporate Secretary



**Office of the Corporate Secretary**

Direct Line: 8536-0540  
Trunk Lines: 8891-6040 to 70  
Local: 4582

April 14, 2021

**MS. JANET A. ENCARNACION**

Head, Disclosure Department  
6<sup>th</sup> Floor PSE Tower  
28<sup>th</sup> Street corner 5<sup>th</sup> Avenue  
Bonifacio Global City, Taguig City

Dear Ms. Encarnacion:

In compliance with Section 17.12 of the Philippine Stock Exchange Disclosure Rules, we are submitting herewith the List of the Top 100 Stockholders of the Philippine National Bank, together with the List of Philippine Depository & Trust Corporation Participants as March 31, 2021.

We trust you will take note accordingly. Thank you.

Very truly yours,



**RUTH PAMELA E. TANGHAL**  
Corporate Secretary

Philippine National Bank  
PNB Financial Center  
Pres. Diosdado Macapagal Blvd.,  
Pasay City, Metro Manila 1300,  
Philippines

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Authorized Depository of the Republic of the Philippines  
Member: PDIC

**PHILIPPINE NATIONAL BANK**  
**List of Top 100 Stockholders**  
**As of March 31, 2021**

*Annex "E"*

	<b>Name</b>	<b>No. of Shares</b>	<b>Percentage</b>
1	PCD NOMINEE CORPORATION (FILIPINO)	230,717,599	15.1214388639
2	KEY LANDMARK INVESTMENTS, LTD.	133,277,924	8.7351549618
3	TRUE SUCCESS PROFITS LIMITED	82,017,184	5.3754799765
	CARAVAN HOLDINGS CORPORATION	82,017,184	5.3754799765
	SOLAR HOLDINGS CORPORATION	82,017,184	5.3754799765
4	PRIMA EQUITIES & INVESTMENTS CORP.	71,765,036	4.7035449794
5	LEADWAY HOLDINGS, INC.	65,310,444	4.2805052168
6	INFINITY EQUITIES, INC.	61,512,888	4.0316099824
7	PCD NOMINEE CORP. (NON-FILIPINO)	46,631,167	3.0562486087
8	PIONEER HOLDINGS EQUITIES, INC.	34,254,212	2.2450518506
9	PAN ASIA SECURITIES CORPORATION	33,216,389	2.1770319981
10	MULTIPLE STAR HOLDINGS CORP.	30,798,151	2.0185385055
11	DONFAR MANAGEMENT LIMITED	30,747,898	2.0152448787
12	UTTERMOST SUCCESS LIMITED	30,233,288	1.9815168766
13	MAVELSTONE INTERNATIONAL LIMITED	29,575,168	1.9383831001
14	KENROCK HOLDINGS CORP.	26,018,279	1.7052613973
15	FIL-CARE HOLDINGS, INC.	25,450,962	1.6680789310
16	FAIRLINK HOLDINGS CORP.	25,207,795	1.6521415472
17	PURPLE CRYSTAL HOLDINGS, INC.	24,404,724	1.5995075519
18	KENTRON HOLDINGS & EQUITIES CORP.	24,361,225	1.5966565883
19	FRAGILE TOUCH INVESTMENTS, LTD.	22,696,137	1.4875252238
20	IVORY HOLDINGS, INC.	20,761,731	1.3607425155
21	ALLMARK HOLDINGS CORP.	20,724,567	1.3583067535
22	PROFOUND HOLDINGS, INC.	18,242,251	1.1956135311
23	FAST RETURN ENTERPRISES, LTD.	18,157,183	1.1900380979
24	MERIT HOLDINGS & EQUITIES CORP.	17,385,520	1.1394626112
25	SOCIETY HOLDINGS CORP.	17,298,825	1.1337805429
26	KENTWOOD DEVELOPMENT CORP.	17,237,017	1.1297295910
27	TOTAL HOLDINGS CORPORATION	15,995,011	1.0483274012
28	DUNMORE DEVELOPMENT CORP. (X-496)	15,140,723	0.9923365976
29	TAN LUCIO C.	14,843,119	0.9728313639
30	SAFEWAY HOLDINGS & EQUITIES, INC.	12,048,843	0.7896920027
31	DYNAWORLD HOLDINGS, INC.	11,387,569	0.7463515102
32	ZEBRA HOLDINGS, INC.	10,440,612	0.6842870971
33	VIRGO HOLDINGS & DEV'T. CORP.	10,407,452	0.6821137608
34	LA VIDA DEVELOPMENT CORP. A/C# 2423	10,371,574	0.6797622845
35	ALL SEASONS REALTY CORP.	10,005,866	0.6557934534
36	WITTER WEBBER AND SCHWAB INVESTMENT, INC.	9,568,470	0.6271261263
37	DREYFUSS MUTUAL INVESTMENTS, INC.	9,568,469	0.6271260607
	INTEGRION INVESTMENTS, INC.	9,568,469	0.6271260607
38	IRIS HOLDINGS & DEVELOPMENT CORPORATION	9,370,258	0.6141351336
39	LA VIDA DEVELOPMENT CORP.	9,235,760	0.6053200138

40	LOCAL TRADE & DEVELOPMENT CORP.	8,197,751	0.5372879707
41	OPULENT LANDOWNERS, INC.	7,232,249	0.4740081016
42	PALOMINO VENTURES, INC. (X-497)	5,582,000	0.3658492985
43	PALOMINO VENTURES, INC.	4,626,997	0.3032575433
44	UNIZONE EQUITIES INVESTMENTS, INC.	3,528,859	0.2312845915
45	GOLDLABEL EQUITIES CORPORATION	2,862,349	0.1876009268
46	POWER REALTY & DEVELOPMENT CORP.	2,262,985	0.1483180714
47	WONDEROAD CORPORATION	1,911,300	0.1252683203
48	LUCIO W. YAN & OR CLARA YAN	757,500	0.0496472310
49	SYSMART CORPORATION	357,726	0.0234456837
50	TANENGLIAN MARIANO	283,726	0.0185956571
51	CHUA DOMINGO	209,460	0.0137281967
52	HAYCO EDWARD LIM	200,000	0.0131081798
53	YANG ESTATE OF ANTONIO	80,500	0.0052760424
54	CHUA NELSON P.	80,000	0.0052432719
55	TAN LEE JUANITA	78,723	0.0051595762
56	YU DEWEY	71,100	0.0046599579
57	YANG ANTONIO	46,600	0.0030542059
58	ENRILE II WILLIAM RAGOS	46,000	0.0030148814
59	UY ABETO A.	45,072	0.0029540594
60	ASSAD SR. JACOB J.	44,587	0.0029222721
61	SITOSTA HENRY	41,700	0.0027330555
62	AGO, EMMANUEL F. &/OR AGO, ANGELITA F.	41,611	0.0027272224
63	UNITED REALTY CORPORATION	40,779	0.0026726923
64	CHOA VICTOR K.	36,000	0.0023594724
65	KAWPENG CHRISTOPHER C.	35,552	0.0023301100
	KAWPENG EDWIN C.	35,552	0.0023301100
66	KAWPENG DANIEL C.	35,551	0.0023300445
67	KAWPENG DAVID C.	35,550	0.0023299790
	KAWPENG TOMAS C.	35,550	0.0023299790
68	WANG MING	31,000	0.0020317679
69	JOSEPH, RALPH L. &/OR JOSEPH, ROBERT L.	28,000	0.0018351452
70	YUN SHEN XIAO	27,000	0.0017696043
71	LIM EDWARD	26,250	0.0017204486
72	ESTATE OF ANTONIO YANG BADIOLA	23,000	0.0015074407
73	MENDOZA, ALBERTO &/OR MENDOZA, JEANIE C.	22,767	0.0014921696
74	GARCIA ALEJANDRO M.	22,614	0.0014821419
75	SIA CHARLES	20,800	0.0013632507
76	SOLIVEN, MANUEL V. &/OR SOLIVEN, MICHELLE V.	20,000	0.0013108180
	DE OCAMPO JOSE	20,000	0.0013108180
	ABRERA ANTONIO	20,000	0.0013108180
	LEE MARJORIE ANN LIM	20,000	0.0013108180
	TAN SHE LING	20,000	0.0013108180
77	GEMMA CO LI OR KIM HIONG YU LI	18,000	0.0011797362
78	CHIU JANETTE NELLIE GO	17,900	0.0011731821
79	LUYS SECURITIES CO., INC.	17,898	0.0011730510
80	GUILLEN MARISSA	17,568	0.0011514225

81	LEE JUANITA TAN	17,432	0.0011425090
82	MANILA BAY SPINNING MILLS, INC.	17,118	0.0011219291
	P. LARRAZABAL & SONS ENTERPRISES, INC.	17,118	0.0011219291
83	TANCHULING, VICENTE N. Y. &/OR TANCHULING, VINCENT	16,000	0.0010486544
84	LIM ALEJANDRA O.	15,826	0.0010372503
85	CHUNGUNCO CARMEN	15,812	0.0010363327
86	NG SIU KHENG FLORA	15,375	0.0010076913
87	CHUNGUNCO JR. LEONCIO N.	15,259	0.0010000886
88	LIAO, ALEX P. &/OR LIAO, MARLENE T.	15,125	0.0009913061
89	WANG HSIEN HUO	15,000	0.0009831135
90	YAN LUCIO	13,861	0.0009084624
91	SY LIWAYWAY UY	13,714	0.0008988279
92	MANDARIN SECURITIES CORPORATION	13,281	0.0008704487
93	CHAN YONG YIK NG	13,206	0.0008655331
94	INDOSUEZ MANILA A/C 10849	12,375	0.0008110686
95	LAO JOHN T.	12,360	0.0008100855
96	LIM LO SUY DORIS A.	11,500	0.0007537203
	LIM LO SUY LIONCIO U.	11,500	0.0007537203
	LIM LO SUY PETER U.	11,500	0.0007537203
97	RAMOS ELISEA S.	11,488	0.0007529338
98	CORROMPIDO CAYETANO C.	11,228	0.0007358932
99	JOMAR AGRO INDUSTRIAL, INC.	11,074	0.0007257999
100	YU YU-ERIC S.	11,000	0.0007209499
	<b>Total</b>	<b>1,519,524,405</b>	<b>99.5909956243</b>

## OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - PNB000000000

Business Date: March 31, 2021

BPNAME	HOLDINGS
UPCC SECURITIES CORP.	1,143,000
A & A SECURITIES, INC.	4,081,463
ABACUS SECURITIES CORPORATION	17,419,007
PHILSTOCKS FINANCIAL INC	2,379,685
A. T. DE CASTRO SECURITIES CORP.	387,071
ALL ASIA SECURITIES MANAGEMENT CORP.	22
ALPHA SECURITIES CORP.	30,876
BA SECURITIES, INC.	622,679
AP SECURITIES INCORPORATED	1,175,572
ANSALDO, GODINEZ & CO., INC.	601,929
AB CAPITAL SECURITIES, INC.	1,700,425
SB EQUITIES, INC.	4,537,882
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	68,655
ASIASEC EQUITIES, INC.	2,143,228
ASTRA SECURITIES CORPORATION	2,608,353
CHINA BANK SECURITIES CORPORATION	355,529
MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	8,014
BELSON SECURITIES, INC.	304,183
BENJAMIN CO CA & CO., INC.	33,951
JAKA SECURITIES CORP.	82,805
BPI SECURITIES CORPORATION	5,725,812
CAMPOS, LANUZA & COMPANY, INC.	84,361
SINCERE SECURITIES CORPORATION	57,186
CENTURY SECURITIES CORPORATION	23,250
CTS GLOBAL EQUITY GROUP, INC.	116,255
TRITON SECURITIES CORP.	1,746,132
IGC SECURITIES INC.	2,141,980
CUALOPING SECURITIES CORPORATION	36,423
DBP-DAIWA CAPITAL MARKETS PHILPPINES, INC.	11,518
DAVID GO SECURITIES CORP.	615,591
DIVERSIFIED SECURITIES, INC.	150,063
E. CHUA CHIACO SECURITIES, INC.	720,141
EQUITABLE SECURIITES (PHILS.) INC.	107
EAST WEST CAPITAL CORPORATION	244,851
EASTERN SECURITIES DEVELOPMENT CORPORATION	925,238
EQUITIWORLD SECURITIES, INC.	18,237
EVERGREEN STOCK BROKERAGE & SEC., INC.	7,792,519
FIRST ORIENT SECURITIES, INC.	28,190
FIRST INTEGRATED CAPITAL SECURITIES, INC.	2,013,864
F. YAP SECURITIES, INC.	78,920
AURORA SECURITIES, INC.	543,326
GLOBALINKS SECURITIES & STOCKS, INC.	1,198,244
JSG SECURITIES, INC.	334,077
GOLDSTAR SECURITIES, INC.	1,364,768
GUILD SECURITIES, INC.	1,791,525
HDI SECURITIES, INC.	4,412,877
H. E. BENNETT SECURITIES, INC.	109,346
HIGHLAND SECURITIES PHIL., INC.	13
HK SECURITIES, INC.	30
I. ACKERMAN & CO., INC.	2,580
I. B. GIMENEZ SECURITIES, INC.	24,379



<b>BPNAME</b>	<b>HOLDINGS</b>
INVESTORS SECURITIES, INC,	410,409
IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.	38,677
INTRA-INVEST SECURITIES, INC.	110,272
ASIAN CAPITAL EQUITIES, INC.	733
J.M. BARCELON & CO., INC.	22,824
VALUE QUEST SECURITIES CORPORATION	3,826,114
STRATEGIC EQUITIES CORP.	98,329
LIPPO SECURITIES, INC.	10
LITONJUA SECURITIES, INC.	7
LOPEZ, LOCSIN, LEDESMA & CO., INC.	6,611
LUCKY SECURITIES, INC.	277,636
LUYS SECURITIES COMPANY, INC.	234,131
MANDARIN SECURITIES CORPORATION	2,100,779
MARINO OLONDRIZ Y CIA	30
COL Financial Group, Inc.	10,018,434
DA MARKET SECURITIES, INC.	362,315
MERCANTILE SECURITIES CORP.	53,663
MERIDIAN SECURITIES, INC.	1,058,290
MDR SECURITIES, INC.	1,904,511
REGIS PARTNERS, INC.	3,923
MOUNT PEAK SECURITIES, INC.	3,188
NEW WORLD SECURITIES CO., INC.	1,648,015
OPTIMUM SECURITIES CORPORATION	239,589
RCBC SECURITIES, INC.	338,943
PAN ASIA SECURITIES CORP.	1,632,385
PAPA SECURITIES CORPORATION	1,841,841
MAYBANK ATR KIM ENG SECURITIES, INC.	28,115,376
PLATINUM SECURITIES, INC.	16,794
PNB SECURITIES, INC.	3,249,281
PREMIUM SECURITIES, INC.	235,464
SALISBURY BKT SECURITIES CORPORATION	2,096,541
QUALITY INVESTMENTS & SECURITIES CORPORATION	1,646,356
R & L INVESTMENTS, INC.	4,462
ALAKOR SECURITIES CORPORATION	6,687
R. COYIUTO SECURITIES, INC.	1,194,632
REGINA CAPITAL DEVELOPMENT CORPORATION	604,082
R. NUBLA SECURITIES, INC.	867,210
AAA SOUTHEAST EQUITIES, INCORPORATED	25,778
R. S. LIM & CO., INC.	547,114
RTG & COMPANY, INC.	36,079
S.J. ROXAS & CO., INC.	510,393
SECURITIES SPECIALISTS, INC.	283,587
FIDELITY SECURITIES, INC.	22,406
SUMMIT SECURITIES, INC.	1,255,100
STANDARD SECURITIES CORPORATION	1,019,647
SUPREME STOCKBROKERS, INC	341
TANSENGCO & CO., INC.	690,224
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	397,646
TOWER SECURITIES, INC.	3,475,930
TRANS-ASIA SECURITIES, INC.	67,000
APEX PHILIPPINES EQUITIES CORPORATION	4,467
TRENDLINE SECURITIES CORPORATION	224
UCPB SECURITIES, INC.	110,092
UOB KAY HIAN SECURITIES (PHILS.), INC.	22,100
E.SECURITIES, INC.	2,716
VENTURE SECURITIES, INC.	162,517
FIRST METRO SECURITIES BROKERAGE CORP.	3,745,951
WEALTH SECURITIES, INC.	4,769,641

<b>BPNAME</b>	<b>HOLDINGS</b>
WESTLINK GLOBAL EQUITIES, INC.	34,620
BERNAD SECURITIES, INC.	303,577
WONG SECURITIES CORPORATION	85,166
YAO & ZIALCITA, INC.	491,015
YU & COMPANY, INC.	317,295
BDO SECURITIES CORPORATION	34,085,469
EAGLE EQUITIES, INC.	349,355
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	31,650
SOLAR SECURITIES, INC.	4,439,154
G.D. TAN & COMPANY, INC.	109,248
CLSA PHILIPPINES, INC.	276,379
PHILIPPINE EQUITY PARTNERS, INC.	1,721,665
UNICAPITAL SECURITIES INC.	449,393
SunSecurities, Inc.	217,760
COHERCO SECURITIES, INC.	10,000
ARMSTRONG SECURITIES, INC.	14,799
TIMSON SECURITIES, INC.	93,420
STAR ALLIANCE SECURITIES CORP.	1,449,229
VC SECURITIES CORPORATION	32,140
CITIBANK N.A.	17,722,552
THE HONGKONG & SHANGHAI BANKING CORP. LTD. -OWN ACCOUNT	460
UNITED COCONUT PLANTERS BANK-TRUST BANKING	60,030
BANK OF COMMERCE - TRUST SERVICES GROUP	60
PNB TRUST BANKING GROUP	8,525,218
RCBC TRUST & INVESTMENT DIVISION	140
DEUTSCHE BANK MANILA-CLIENTS A/C	6,490,609
STANDARD CHARTERED BANK	9,354,731
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	14,896,714
MBTC - TRUST BANKING GROUP	1,011,400
GOVERNMENT SERVICE INSURANCE SYSTEM	20,230,563
AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.	36,031
DEUTSCHE BANK MANILA-CLIENTS A/C	2
NATIONAL REINSURANCE CORPORATION OF THE PHILIPPINES	758,600
UCPB GENERAL INSURANCE CO., INC.	296,693
MAA GENERAL ASSURANCE PHILS., INC.	40,000
<b>Total</b>	<b>277,348,766</b>

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.